

Event Description

The P3 Basics Overview training was hosted in conjunction with the AASHTO Committee on Planning at the AASHTO Joint Policy Conference: Connecting the DOTs in Spokane, WA. The 4-hour course offered a general understanding of P3 as an alternative delivery method.

The workshop included two abbreviated presentations from BATIC's P3 Basics Training to establish a common vocabulary and working knowledge. Then, attendees heard from guest speakers who described "real world" examples of diverse public-private partnerships and their implementations with an emphasis on long-range planning and project programming. During the discussion sessions, participants considered questions such as:

- How can the consideration of P3 procurement at key planning decision points impact the success of the procurement or the value an agency ultimately derives from a P3 delivery?
- How might the approach to the NEPA process differ if a project is being advanced as a potential P3 candidate?
- What sources of revenue other than tolls can be used to secure P3 financing?

Agenda

AGENDA	FACILITATOR
WELCOME & INTRODUCTIONS 1:00-1:15	Jennifer Brickett , Director, BATIC Institute: An AASHTO Center for Excellence James Garland , FHWA
1 Presentation: Public Private Partnership (P3) Overview 1:15-2:15 <ul style="list-style-type: none">▪ Common Terms▪ Differences between P3 and Traditional Delivery▪ Enabling Legislation and Policy Context▪ Examples of P3 Projects, including small and non-revenue generating projects	Deborah Brown , WSP USA <ul style="list-style-type: none">• Guest Speaker: Anthony Buckley, Washington DOT
2 Discussion: P3 and Planning Processes 2:15-3:00 <ul style="list-style-type: none">▪ P3 and Key Decision-Points (PlanWorks demo)	Catherine Reddick , Mercator Advisors <ul style="list-style-type: none">• Guest Speaker: James Garland, FHWA
BREAK 3:00-3:15	
3 Discussion: P3, Financing, and Funding 3:15-4:30 <ul style="list-style-type: none">▪ Project Finance▪ Federal Credit Assistance▪ DOT/MPO Planning and Budgetary Requirements	Deborah Brown , WSP USA <ul style="list-style-type: none">• Guest Speakers: Marsha Fiol, Virginia DOT; Wendy Thomas, Virginia DOT Federal Programs Management Division
4 Closing Discussion 4:30-5:00 <ul style="list-style-type: none">▪ Additional capacity building needs: What should planners know about P3? How can BATIC meet the needs of planners whose work touches P3?	Catherine Reddick , Mercator Advisors <ul style="list-style-type: none">• Guest Speaker: Greg Slater, Maryland DOT

Slides

- P3 Basics: Public Private Partnership (P3) Overview
- Alternative Approaches to Private Sector Involvement: Public-Private Partnerships in Washington
 - Anthony Buckley, Washington State DOT
- P3s and the Planning Process: FHWA PlanWorks
 - James Garland, FHWA
- P3 Basics: P3, Financing, and Funding
- Incorporating P3 Project Finance into the Planning Process
 - Marsha Fiol, Virginia DOT and Wendy Thomas, Virginia DOT Federal Programs Management Division
- P3s Come in All Shapes and Sizes
 - Greg Slater, Maryland DOT
- Appendix: P3 Financial Structure and Cash Flows

Participant List

	Name	Agency	Position
1	Sonja Baker	Alabama DOT	Assistant Bureau Chief, Planning Studies
2	Mary Elliott Bergeron	Louisiana DOTD	Highway Program Engineer
3	Connie Betts	Louisiana DOTD	Transportation Planning Administrator
4	Anthony Buckley	Washington State DOT	Director, Innovative Partnerships
5	Greg Byres	Arizona DOT	Multimodal Planning Division, Director
6	Allison Camden	Washington State DOT	Intergovernmental and Tribal Relations Director
7	Joel Drake	Idaho TD	Financial Manager, Financial Planning & Analysis
8	Myron Frierson	Michigan DOT	Director, Finance and Administration Bureau
9	James Garland	FHWA	Planning Capacity Building Team Leader, Office of Planning
10	Larry Goldstein	TRB	Senior Program Officer
11	Elise Greef	Washington State DOT	Budget Director
12	Linda Hull	Utah DOT	Director, Policy and Legislative Services
13	Lisa Hurley	Montana DT	Fiscal Programming Supervisor
14	Steven Keck	Caltrans	Division Chief, Budgets
15	Craig Markley	Iowa DOT	Office of Systems Planning
16	Tom McQueen	Georgia DOT	Assistant Planning Administrator
17	John Moore	Kentucky TC	Director, Division of Planning
18	Tammy Nicholson	Iowa DOT	Director, Office of Location and Environment
19	Holly Ostidick	Illinois DOT	Section Chief, Office of Planning
20	Serge Phillips	Minnesota DOT	State Program Administration Manager, Government Affairs
21	Scott Pomento	Maryland SHA	Director, Office of Planning and Preliminary Engineering
22	Charles A. Robinson	Georgia DOT	Assistant State Transportation Planning Administrator
23	Eric Stults	District of Columbia DOT	Manager, Resource Allocation Division
24	Paul Tanner	Georgia DOT	State Planning Administrator
25	Dave Tolman	Idaho TD	Controller
26	Todd VanHove	Alaska DOT	Chief of Planning, Central Region
27	Eileen Vaughn	Kentucky TC	Lessons Learned Coordinator, Quality Assurance
28	Kevin Wilsey	Maryland SHA	Deputy Director, Office of Environmental Design

Other Materials

- [FHWA PlanWorks](#)
 - PlanWorks is a web resource that supports collaborative decision making in transportation planning and project development. It is built around key decisions in long-range planning, programming, corridor planning, and environmental review and suggests when and how to engage cross-disciplinary partners and stakeholder groups.
- [Effect of Public–Private Partnerships and Nontraditional Procurement Processes on Highway Planning, Environmental Review, and Collaborative Decision Making \(SHRP 2 Report S2-C12-RW-1, 2015\)](#)
 - This report focuses on opportunities to better integrate nontraditional procurement methods and public–private partnerships into the public transportation planning process.
- [Public-Private Partnership Oversight: How FHWA Reviews P3s \(U.S. DOT Build America Bureau P3 Toolkit, 2015\)](#)
 - Differences in the way P3s and traditional Federal-aid projects are procured, financed, and implemented raise important regulatory issues that Federal Highway Administration (FHWA) staff take into consideration as they ensure effective Federal stewardship of P3 projects in conjunction with the State or local transportation agencies. This guidance document is a reference to help State and local transportation agencies and the private sector understand how FHWA conducts stewardship and oversight of Federal-aid projects where P3s are being considered or implemented.